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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	101221-651
First Inventor	Thomas KAROL et al.
Title	See Application Data Sheet
Express Mail Label	EV 973658230 US

03945 U.S. PTO
1078408**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

- | | |
|---|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 45] </p> <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 3] </p> <p>5. Oath or Declaration [Total Pages] </p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies |
|---|--|

ACCOMPANYING APPLICATION PARTS

- | | |
|---|--|
| <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) <input type="checkbox"/></p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.</p> | <p>17. <input type="checkbox"/> Other:</p> |
|---|--|

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Group / Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

Customer Number: **27387** or Correspondence address below

Name

Address

City

Country

State

Zip Code

Fax

Name (Print/Type) **Bruce S. Londa**Registration No. (Attorney/Agent) **33,531**

Signature

Date **October 2, 2003**

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known	
Application Number	to be assigned
Filing Date	herewith
First Named Inventor	Thomas KAROL
Examiner Name	to be assigned
Group Art Unit	to be assigned
Attorney Docket No.	101221-651

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)																																																																																																																																				
<input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other <input type="checkbox"/> None <input checked="" type="checkbox"/> Deposit Account: Deposit Account Number 14-1263 Deposit Account Name Norris, McLaughlin & Marcus		3. ADDITIONAL FEES <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>Fee Code</td> <td>Fee Code (\$)</td> <td>Fee Description</td> <td>Fee Paid</td> </tr> <tr> <td>1051</td> <td>130</td> <td>2051 65 Surcharge - late filing fee or oath</td> <td></td> </tr> <tr> <td>1052</td> <td>50</td> <td>2052 25 Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>1053</td> <td>130</td> <td>1053 130 Non - English specification</td> <td></td> </tr> <tr> <td>1812</td> <td>2,520</td> <td>1812 2,520 For filing a request for ex parte reexamination</td> <td></td> </tr> <tr> <td>1804</td> <td>920*</td> <td>1804 920* Requesting publication of SIR prior to Examiner action</td> <td></td> </tr> <tr> <td>1805</td> <td>1,840*</td> <td>1805 1,840* Requesting publication of SIR after Examiner action</td> <td></td> </tr> <tr> <td>1251</td> <td>110</td> <td>2251 55 Extension for reply within first month</td> <td></td> </tr> <tr> <td>1252</td> <td>410</td> <td>2252 205 Extension for reply within second month</td> <td></td> </tr> <tr> <td>1253</td> <td>930</td> <td>2253 465 Extension for reply within third month</td> <td></td> </tr> <tr> <td>1254</td> <td>1,450</td> <td>2254 725 Extension for reply within fourth month</td> <td></td> </tr> <tr> <td>1255</td> <td>1,970</td> <td>2255 985 Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>1401</td> <td>320</td> <td>2401 160 Notice of Appeal</td> <td></td> </tr> <tr> <td>1402</td> <td>320</td> <td>2402 160 Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>1403</td> <td>280</td> <td>2403 140 Request for oral hearing</td> <td></td> </tr> <tr> <td>1451</td> <td>1,510</td> <td>1451 1,510 Petition to institute a public use proceeding</td> <td></td> </tr> <tr> <td>1452</td> <td>110</td> <td>2452 55 Petition to revive - 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Name (Print/Type)	Bruce S. Londa	Registration No. (Attorney/Agent)	33,531	Telephone	212-808-0700																																																																																																																																	
Signature			Date	October 2, 2003																																																																																																																																		

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PATENTS

EXPRESS MAIL CERTIFICATION

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Jennifer Connington
Jennifer Connington
Express Mail Label EV 973658230 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

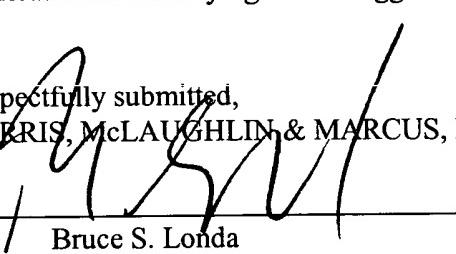
Attorney's Docket No: 101221-651

Examiner : to be assigned
Group Art Unit : to be assigned
Applicant : Thomas KAROL, Steven DONNELLY
Appl. No. : to be assigned
Provisional Appl. No. : 60/416,061
Filed : herewith
For : Synergistic Organoborate Compositions and Lubricating Compositions Containing Same

Mail Stop Patent Application
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith for entry and approval are three (3) sheets of formal drawings (Figs. 1-3) in the above-referenced application. Each sheet indicates the identifying indica suggested in 37 CFR § 1.84(c) on the reverse side of the drawing.

Respectfully submitted,
NORRIS, McLAUGHLIN & MARCUS, P.A.
By 
Bruce S. Londa
Attorney for Applicant(s)
Reg. No. 33,531

Norris McLaughlin & Marcus, P.A.
220 East 42nd Street – 30th Floor
New York, NY 10017
Telephone: 212-808-0700

APPLICANT(S): Thomas KAROL, Steven DONNELLY
TITLE: **Synergistic Organoborate Compositions and Lubricating Compositions Containing Same**
SERIAL NO.: to be assigned
Provisional Serial No.: 60/416,061
FILED: herewith

EXPRESS MAIL CERTIFICATE

Express Mail Label No. EV 973658230 US
Date of Deposit: October 2, 2003

I hereby certify that the following items:

1. Utility Patent Application Transmittal
2. Fee Transmittal FY 2003
3. Application Data Sheet (3 pages)
4. Specification (45 pages)
5. Transmittal of Formal Drawings
6. Formal Drawings (3 sheets, Figs. 1-3)
7. Information Disclosure Statement
8. PTO Form 1449 citing 3 references
9. Return Receipt Postcard

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NORRIS, McLAUGHLIN & MARCUS, P.A.

By Jennifer Connington
Jennifer Connington